

UNITED STATES APPLICATION

FOR

GRANT OF LETTERS PATENT

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FOR

UV PORTAL-BASED APPLIANCES AND CONTAINERS

**GLASGOW LAW FIRM
Intellectual Property Law
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1 **UV PORTAL-BASED APPLIANCES AND CONTAINERS**

2 This nonprovisional utility patent application claims the benefit of one or more prior filed
3 copingding nonprovisional applications; the present application is a Continuation-In-Part
4 of application 09/724,180, which is incorporated herein by reference in its entirety.

5 **Background of the Invention**

6 **(1) Field of the Invention**

7 The present invention relates generally to a system and method for ultraviolet
8 disinfection and, more particularly, to a system and method for ultraviolet disinfection of
9 appliances.

10 **(2) Description of the Prior Art**

11 **UV Mechanism of Action**

12 It is well known in the art to use ultraviolet light (UV) for the microbial
13 disinfection of liquids and surfaces. Ultraviolet light, at the germicidal wavelength of
14 253.7 nanometers, alters the genetic (DNA) material in cells so that bacteria, viruses,
15 molds, algae and other microorganisms can no longer reproduce. The microorganisms are
16 considered dead, and the risk of disease from them is eliminated. As the UV lamps
17 irradiate a fluid or surface in UV disinfection systems, the microorganisms are exposed to
18 a lethal dose of UV energy. UV dose is measured as the product of UV light intensity
19 times the exposure time within the UV lamp array. Microbiologists have determined the
20 effective dose of UV energy to be approximately about 34,000 microwatt- seconds/cm²
21 needed to destroy pathogens as well as indicator organisms found in wastewater.
22 Typical prior art disinfection systems and devices emit UV light at approximately 254
23 nm, which penetrates the outer cell membrane of microorganisms, passes through the cell

1 body, reaches the DNA and alters the genetic material of the microorganism, destroying
2 it without chemicals by rendering it unable to reproduce.

3 Ultraviolet light is classified into three wavelength ranges: UV-C, from about 200
4 nanometers (nm) to about 280 nm; UV-B, from about 280 nm to about 315 nm; and UV-
5 A, from about 315 nm to about 400 nm. Generally, UV light, and in particular, UV-C
6 light is "germicidal," i.e., it deactivates the DNA of bacteria, viruses and other pathogens
7 and thus destroys their ability to multiply and cause disease, effectively resulting in
8 sterilization of the microorganisms. Specifically, UV "C" light causes damage to the
9 nucleic acid of microorganisms by forming covalent bonds between certain adjacent
10 bases in the DNA. The formation of these bonds prevents the DNA from being
11 "unzipped" for replication, and the organism is neither able to produce molecules
12 essential for life process, nor is it able to reproduce. In fact, when an organism is unable
13 to produce these essential molecules or is unable to replicate, it dies. UV light with a
14 wavelength of approximately between about 250 to about 260 nm provides the highest
15 germicidal effectiveness. While susceptibility to UV light varies, exposure to UV energy
16 for about 20 to about 34 milliwatt-seconds/cm² is adequate to deactivate approximately
17 99 percent of the pathogens.

18 Regulation of Drinking Water Standards

19 Exposure to pathogens does not always cause disease; whether drinking contaminated
20 water could produce disease depends on the type and quantity of pathogen ingested and
21 the health (nutritional and immunological) status of the person ingesting the pathogen.
22 However, the use of low-level antibiotics to improve feed conversion in domestic animals
23 has led to the emergence of antibiotic-resistant pathogens. In recognition of this problem,

1 US governmental agencies are seeking to improve the control of food production through
2 such programs as the Hazard Analysis Critical Control Point (HACCP).

3 Traditionally, the most common means of maintaining water used in household
4 appliances at an acceptable purity for long periods of time is through the addition of
5 reactive chlorine. Unfortunately, certain microorganisms, such as Cryptosporidium, have
6 developed resistance to reactive chlorine, and have now returned as a public health
7 problem. Additionally, dumping of contaminated waste such that a municipal water
8 treatment facility is overtaxed has resulted in ineffective water purification with the result
9 that pathogens are delivered to humans in the drinking water. Although persons might
10 prevent such contamination through the use of purified drinking water, other appliances
11 that use municipal water may be contaminated with the pathogens and consequently
12 expose the user to the pathogen. Thus a need exists for appliances and other devices
13 whose contact surfaces and/or interior contents can be easily sterilized.

14 A system for UV light treatment of appliances has been described by the inventor
15 in US Patent Application #09/724,180. This system includes transmission of UV light to
16 appliances via fiber optic transmission lines for the purpose of microbial disinfection.

17 However, no appliances or storage devices are currently equipped with a portal for
18 connection to a fiber optic transmission line for the purpose of UV sterilization.

19 Thus, there remains a need for appliances and container devices that contain a
20 portal to which a fiber optic transmission line can be attached for the purpose of UV
21 sterilization.

1 Summary of the Invention

2 The present invention is directed to a portal system for appliances and containers
3 for the attachment of a fiber optic transmission line for the purpose of UV sterilization.

4 One object of the present invention is to provide a portal-based appliance system for
5 ultraviolet disinfection (UV) incorporated within appliances for providing disinfection
6 along with the appliance function, the system including at least one portal for receiving
7 UV light input into the appliance from a UV light source.

8 Another object of the present invention is to provide a portal system for
9 ultraviolet (UV) disinfection of containers that includes at least one portal included as
10 part of the container housing for providing disinfection within the container.

11 Accordingly, one aspect of the present invention is to provide a portal-based
12 appliance system for ultraviolet disinfection (UV) of appliances, wherein the system
13 includes an appliance having at least one portal for receiving UV light input.

14 Another aspect of the present invention is to provide a portal system for
15 ultraviolet disinfection (UV) of containers, wherein the system includes at least one
16 portal included with the container housing for receiving UV light input into the container
17 from a UV light source.

18 These and other aspects of the present invention will become apparent to those
19 skilled in the art after a reading of the following description of the preferred embodiment
20 according to the present invention when considered with the drawings.

21 Brief Description of the Drawings

22 Figure 1 is a schematic diagram of the complete UV appliance portal system.

1 Detailed Description of the Preferred Embodiments

2 In the following description, like reference characters designate like or
3 corresponding parts throughout the several views. Also in the following description, it is
4 to be understood that such terms as "forward," "rearward," "front," "back," "right,"
5 "left," "upwardly," "downwardly," and the like are words of convenience and are not to
6 be construed as limiting terms.

7 Referring now to the drawings in general, the illustrations are for the purpose of
8 describing a preferred embodiment of the invention and are not intended to limit the
9 invention thereto. Figure 1 shows a schematic diagram of a UV appliance or container
10 portal system, generally described as 10. In the preferred embodiment, an appliance or
11 container 12 is equipped with a portal 22, which may alternatively be at least one portal if
12 more than one light input is desired, thus allowing at least one fiber optic transmission
13 line 26 to provide UV light to the appliance. The at least one portal may include a
14 respective fiber optic transmission line fastener 23, to removably secure the fiber optic
15 transmission line(s) to the device. The portal is equipped with an interface device 32 that
16 controls the interface between the portal and the interior of the appliance or container.
17 The interface device ensures the security of the internal compartment of the appliance or
18 container and/or prevents the escape of the interior contents of the appliance or container
19 via the at least one portal. Preferably, the interface device is UV transmissive, such that
20 UV light may pass through it. More preferably, the interface device is an interface
21 optical device or devices. These interface optical devices, or portal optics, control and
22 direct the UV light in order to enhance the disinfection of the appliance or container
23 interior 24. Alternately, when the appliance is not being disinfected, the interface device

1 is not necessarily UV transmissive, but may be engineered and constructed of materials
2 such that it can preserve the security of or prevent the escape of the internal contents and
3 components of the appliance.

4 Additionally, the portal optics may contain a photocatalyst that degrades
5 compounds contacting the surface of the portal optics. For example, photoactivated
6 semiconductors may be incorporated into the optics that interface with the interior of the
7 appliance. The photocatalyst may include photo-activated semiconductors such as
8 Titanium Oxide; TiO₂ (photo activation wavelength; not more than 388 nm), Tungsten
9 Oxide; WO₂ (photo activation wavelength; not more than 388 nm), Zinc Oxide; ZnO
10 (photo activation wavelength; not more than 388 nm), Zinc Sulfide; ZnS (photo
11 activation wavelength; not more than 344 nm) and Tin Oxide; SnO₂ (photo activation
12 wavelength; not more than 326 nm). In addition to these catalysts, other catalysts, such
13 as PtTiO₂, are known. TiO₂ may be preferably applied as the photocatalyst, considering
14 that the activation power is very high, the catalyst is long-lived with high durability, and
15 safety for human applications is certified, as TiO₂ has been used safely for a long time in
16 cosmetic and food applications. When such a surface is irradiated with activating light,
17 fatty acids and other organic chemicals are chemically reduced, resulting in degradation
18 to smaller volatile products such as methane, ethane, etc. Thus, the incorporation of TiO₂
19 or other photocatalytic material into the portal optics with subsequent irradiation by
20 activating wavelengths reduces the fouling of the portal optics, thus extending the time
21 between required maintenance and/or replacement of the optics.

22 Advantageously, the use of optical components enables the system to maximize
23 the intensity, focus, and control of the UV light rays at the output for any given UV light

1 source or lamp in order to enhance the UV disinfection capacity of the system. Optical
2 components may include, but are not limited to, reflectors, shutters, lenses, splitters,
3 mirrors, rigid and flexible light guides, homogenizer or mixing rods, manifolds and other
4 couplers, filters, color wheels, and the like, can be utilized in combination to achieve the
5 desired control and output, as set forth in U.S. patent numbers 6,027,237; 5,917,986;
6 5,911,020; 5,892,867; 5,862,277; 5,857,041; 5,832,151; 5,790,725; 5,790,723; 5,751,870;
7 5,708,737; 5,706,376; 5,682,448; 5,661,828; 5,559,911; D417,920, which are commonly
8 owned by the assignee of the present invention, and which are incorporated herein by
9 reference in their entirety. Additionally, optical component such as gratings, dichroic
10 filters, focalizers, gradient lenses, gradient reflectors, off-axis lenses, and off-axis
reflectors may be used. All UV transmissive optical components are made of UV-
12 transmissive material and all UV-reflective optical components are made of UV-
13 reflective material. These optics may extend into the appliance. For example, fiber optic
14 transmission lines may be used to route UV light to the various areas of the appliance.
The fiber optic lines may include glass fibers, acrylic fibers, liquid core fibers, core
sheath fibers, or a combination of fibers.

17 A wide range of applications are contemplated within the scope of the present
18 invention, including application of the UV fluid disinfectant system and method to
19 appliances and containers involved in washing, rinsing, storing, fluid dispensing, and
20 combinations thereof. By way of example, the disinfection of appliances, includes, but is
21 not limited to, ambient temperature and chilled water tanks, refrigerators, water
22 fountains, water towers, beverage makers, beverage dispensers, dishwashers, water
23 heaters, washing machines, bathtubs, showers, toilets, and water pumps. These

1 appliances may be for commercial or household use. Additionally, appliances not
2 normally associated with food consumption, but that can harbor pathogens, may be fitted
3 with a UV disinfectant system and method according to the present invention. By way of
4 example and not of limitation, vacuum cleaners, air conditioners, toilet flush reservoirs,
5 waste receptacles, animal housing devices, biomedical storage containers, ion-exchange
6 columns, aquariums, nuclear fluid storage devices, and cabinets, bins, and other storage
7 containers and the like may be fitted with a UV disinfection system and method
8 according to the present invention in order to disinfect or maintain the microbial purity of
9 the appliance or the emissions therefrom.

10 Containers can be bulk-type containers, such as storage bins, cabinets, toilet flush
11 reservoirs, and the like, and can also be individual-use containers, such as beverage
12 containers for water, milk, coffee, tea, juice, wine, beer, carbonated beverages, and the
13 like, or biological fluid containers, such as for blood and blood products, fermentation
14 products, cell culture products, biotechnology products, and the like.

15 These multiple applications may also be connected to a single light source, such
16 as a light pump, by light guides. Such an arrangement would eliminate the need for a
17 lamp or light source at every point of application. Because it may not be necessary to
18 continuously irradiate each point of application, such an arrangement would allow the
19 same size lamp as would be required for a single application to service multiple
20 applications intermittently and/or on demand, thus utilizing the lamp more efficiently.
21 Additionally, placing the lamp exterior to the tank reduces the risk of glass and/or
22 mercury contaminating the appliance should the lamp or lamp housing break. An

1 additional benefit to such a configuration is that filters previously required in immersion-
2 type systems to prevent such contamination are no longer required.

3 Certain modifications and improvements will occur to those skilled in the art upon
4 a reading of the foregoing description. By way of example, various optical components
5 are used depending upon the particular UV light source or lamp selection for a given
6 system.

7 All modifications and improvements have been deleted herein for the sake of
8 conciseness and readability but are properly within the scope of the following claims.

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